

**TECHNIQUE FOR UTILIZING SPARE BANDWIDTH RESULTING FROM THE USE  
OF A TRANSITION-LIMITING CODE IN A MULTI-LEVEL SIGNALING SYSTEM**

**CROSS-REFERENCE TO RELATED APPLICATIONS**

5        This patent application is a continuation-in-part  
application of U.S. Patent Application No. 10/314,985 (Attorney  
Docket No. 57941.000015; Client Reference No. RA262), filed  
December 10, 2002, which is hereby incorporated by reference  
herein in its entirety.

10

**FIELD OF THE INVENTION**

The present invention relates generally to multi-level  
signaling and, more particularly, to a technique for utilizing  
spare bandwidth resulting from the use of a transition-limiting  
15 code in a multi-level signaling system.

**BACKGROUND OF THE INVENTION**

High-speed serial link channels delivering an effective  
data rate above 5 Gb/s in a backplane environment are subject to  
20 significant signal distortion due to inter-symbol interference  
(ISI). Transmitters and receivers need to compensate for most  
of the signal distortion using very low complexity schemes in  
order to obtain a target bit error rate (BER) of less than or  
equal to  $10^{-17}$  at Gb/s rates and under severe power and

complexity restrictions. This constrained space presents significant challenges to well-known signal processing and coding techniques, and sub-optimal but efficient alternatives are sometimes needed to fulfill the task.

5        Attenuation caused by conductor and dielectric losses causes dispersion ISI. Another important ISI component is reflections, which are essentially multipath components of a signal and originate from impedance discontinuities such as those caused by connectors of line cards at both transmit and  
10 receive ends. In addition to ISI distortion, cross-talk effects from far and near end adjacent channels is becoming increasingly significant.

To counteract channel attenuation at high bit rates, 4-level pulse amplitude modulation (4-PAM) signaling is often used  
15 instead of conventional 2-level pulse amplitude modulation (2-PAM) signaling. That is, in a 2-PAM signaling system, each conductor in the system may carry signals at one of two signal levels (i.e., at either a logic zero level or a logic one level). Thus, in a 2-PAM signaling system, each conductor in  
20 the system can only transmit one bit of data per bit time. However, in a 4-PAM signaling system, each conductor in the system may carry signals at four different signal levels (i.e., four different symbols). Thus, in a 4-PAM signaling system,

each conductor in the system can transmit two bits of data simultaneously at one half the symbol rate for an equivalent bandwidth.

In a 4-PAM signaling system that uses current-based output  
5 drivers, the four different signal levels are represented by different current values. For example, the four different current levels may be identified as  $0i$ ,  $1i$ ,  $2i$ , and  $3i$ . Similarly, in a 4-PAM transmission system that uses voltage-based output drivers, the four different signal levels are  
10 represented by different voltage values. For example, the four different voltage levels may be identified as  $0v$ ,  $1v$ ,  $2v$ , and  $3v$ . These types of output drivers are typically connected in a transmission line environment that presents an effective resistance or impedance to the output driver. This transmission  
15 line impedance causes the output voltage to change if the output current from the current driver changes, and causes the output current to change if the output voltage from the voltage driver changes.

A 4-PAM signaling system may be used in systems having  
20 either differential pairs of signals or single-ended signals referenced to ground. In a 4-PAM signaling system utilizing many single-ended output drivers, it is desirable to maintain the total signal current required to transmit a byte of data (or

codeword) at a relatively constant current level in comparison to other bytes of data (or codewords). If the signal current fluctuates greatly from one byte to the next, current changes flow through power supply connections and cause noise. These  
5 current changes occur when using either voltage drivers or current drivers. The noise on the power supply increases in systems that have high data transmission rates and fast edge rate transmitters. This noise on the power supply degrades the voltage margins of the signals.

10 Understandably, while advantageous in channels with dominant attenuation, 4-PAM signaling systems may be more sensitive to reflections and cross-talk than 2-PAM signaling systems due to the reduction in signal margin as a result of carrying more information per symbol. Thus, in cases where high  
15 loss and reflections are combined, the advantages of 4-PAM signaling over 2-PAM signaling may be lost.

In order to preserve the advantages of 4-PAM signaling over 2-PAM signaling, it is desirable to eliminate full-swing transitions (FST) between sequential 4-PAM symbols, as  
20 illustrated in the above-referenced U.S. Patent Application No. 10/314,985. This enhances system performance in terms of: 1.) voltage margins ( $V_m$ ) by reducing peak distortion (PD) via the elimination of one or more worst case sequences; and 2.) timing

margins (Tm), especially at outer eyes where FST close eyes the most.

It is also desirable to secure a minimum density of desirable symbol transitions useful for clock recovery, as also  
5 illustrated in the above-referenced U.S. Patent Application No. 10/314,985. These clock data recovery (CDR) transitions prevent continuous phase drifting from an optimum sampling point at the center of an eye in plesiochronous systems with frequency offsets between received data and a local receive clock.

10 As described in the above-referenced U.S. Patent Application No. 10/314,985, transition-limiting codes may be utilized in multi-PAM signaling systems to realize the above-mentioned desirable qualities. As also described in the above-referenced U.S. Patent Application No. 10/314,985, a unique  
15 property exists in certain transition-limiting codes, whereby certain outer multi-PAM signal levels are periodically unused. As further described in the above-referenced U.S. Patent Application No. 10/314,985, these periodically unused outer multi-PAM signal levels may be used in framing codewords (i.e.,  
20 identifying the boundary of a codeword). However, the use of these periodically unused outer multi-PAM signal levels is not limited in this regard. That is, since these periodically unused outer multi-PAM signal levels essentially constitute

spare bandwidth, it may be desirable to use these periodically unused outer multi-PAM signal levels for other beneficial purposes.

In view of the foregoing, it would be desirable to provide  
5 a technique for utilizing spare bandwidth resulting from the use of a transition-limiting code in a multi-level signaling system in an efficient and cost effective manner.

#### SUMMARY OF THE INVENTION

10 According to the present invention, a technique for utilizing spare bandwidth resulting from the use of a transition-limiting code in a multi-level signaling system is provided. In one particular exemplary embodiment, the technique may be realized as a method for utilizing spare bandwidth  
15 resulting from the use of a transition-limiting code in a multi-level signaling system, wherein the transition-limiting code has a characteristic wherein a signal level is periodically unused. Such a method may comprise modifying the transition-limiting code such that the periodically unused signal level is used to  
20 represent additional information.

In accordance with other aspects of this particular exemplary embodiment of the present invention, the transition-limiting code may beneficially be formed by encoding digital

values represented by sets of N bits to provide corresponding  
sets of P symbols. Also, each set of P symbols may beneficially  
be formed with Q bits, wherein Q is greater than N. For  
example, N may equal 8 and Q may equal 10, and each symbol may  
5 represent two bits.

In accordance with further aspects of this particular  
exemplary embodiment of the present invention, modifying the  
transition-limiting code may beneficially comprise changing the  
logic state of at least one codeword bit in the transition-  
10 limiting code.

In accordance with additional aspects of this particular  
exemplary embodiment of the present invention, the additional  
information may beneficially comprise control information, data  
information, error information, framing information, and/or  
15 synchronization information.

In accordance with still other aspects of this particular  
exemplary embodiment of the present invention, the method may  
further beneficially comprise transmitting the modified  
transition-limiting code. If such is the case, the modified  
20 transition-limiting code may beneficially be transmitted at four  
signal levels on a single transmission medium such as, for  
example, a single electrical conductor, a differential pair of  
electrical conductors, or an optical fiber. Also, the method

may then further beneficially comprise receiving the transmitted modified transition-limiting code, and detecting the additional information in the received modified transition-limiting code. The method may then still further beneficially comprise removing  
5 the additional information from the received modified transition-limiting code so as to return the modified transition-limiting code to an original unmodified state. The method may then even still further beneficially comprise decoding the original transition-limiting code after the  
10 additional information is removed.

In accordance with still further aspects of this particular exemplary embodiment of the present invention, the transition-limiting code may beneficially have a further characteristic wherein a plurality of signal levels may be periodically unused,  
15 and wherein one or more of the plurality of periodically unused signal levels may be restricted from being used to represent additional information at least at certain times. If such is the case, and if the modified transition-limiting code is transmitted, the method may further beneficially comprise  
20 receiving the transmitted modified transition-limiting code, and detecting the use of a signal level that has been restricted. Also, if such is the case, the method may further beneficially comprise generating an error signal based at least in part upon



the detected restricted signal level use.

In another particular exemplary embodiment of the present invention, the technique may be realized as at least one signal embodied in at least one carrier wave for transmitting a

5 computer program of instructions configured to be readable by at least one processor for instructing the at least one processor to execute a computer process for performing the above-described method.

In still another particular exemplary embodiment of the  
10 present invention, the technique may be realized as at least one processor readable carrier for storing a computer program of instructions configured to be readable by at least one processor for instructing the at least one processor to execute a computer process for performing the above-described method.

15 In still another particular exemplary embodiment of the present invention, the technique may be realized as an apparatus for utilizing spare bandwidth resulting from the use of a transition-limiting code in a multi-level signaling system, wherein the transition-limiting code has a characteristic  
20 wherein a signal level is periodically unused. Such an apparatus may comprise a modifier for modifying the transition-limiting code such that the periodically unused signal level is used to represent additional information.

In accordance with other aspects of this particular exemplary embodiment of the present invention, the apparatus may further beneficially comprise a transmitter for transmitting the modified transition-limiting code, a receiver for receiving the transmitted modified transition-limiting code, a detector for detecting the additional information in the received modified transition-limiting code, and/or a decoder for decoding the original transition-limiting code after the additional information is removed.

In accordance with further aspects of this particular exemplary embodiment of the present invention, the transition-limiting code may beneficially have a further characteristic wherein a plurality of signal levels may be periodically unused, and wherein one or more of the plurality of periodically unused signal levels may be restricted from being used to represent additional information at least at certain times. If such is the case, and if the modified transition-limiting code is transmitted, the apparatus may further beneficially comprise a receiver for receiving the transmitted modified transition-limiting code, and a detector for detecting the use of a signal level that has been restricted. Also, if such is the case, the detector may beneficially generate an error signal based at least in part upon the detected restricted signal level use.

In accordance with additional aspects of this particular exemplary embodiment of the present invention, the apparatus may beneficially comprise additional features similar to those recited above with respect to the above-described method.

5       The present invention will now be described in more detail with reference to exemplary embodiments thereof as shown in the accompanying drawings. While the present invention is described below with reference to exemplary embodiments, it should be understood that the present invention is not limited thereto.

10   Those of ordinary skill in the art having access to the teachings herein will recognize additional implementations, modifications, and embodiments, as well as other fields of use, which are within the scope of the present invention as disclosed and claimed herein, and with respect to which the present

15   invention could be of significant utility.

#### BRIEF DESCRIPTION OF THE DRAWINGS

In order to facilitate a fuller understanding of the present invention, reference is now made to the accompanying

20   drawings, in which like elements are referenced with like numerals. These drawings should not be construed as limiting the present invention, but are intended to be exemplary only.

Figure 1 shows a signal transition diagram for a 4-PAM

signaling system utilizing a 4S5S transition-limiting code.

Figure 2 shows a plurality of state transition diagrams for a 4-PAM signaling system utilizing a 4S5S transition-limiting code.

5        Figure 3 shows a generic 4-PAM signaling system for supporting a technique for utilizing spare bandwidth resulting from the use of transition-limiting codes in accordance with one embodiment of the present invention.

10       Figure 4 shows symbol domain and codeword bit domain logic tables for determining when spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in a first scenario in accordance with one embodiment of the present invention.

15       Figure 5 shows symbol domain and codeword bit domain logic tables for determining when spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in a second scenario in accordance with one embodiment of the present invention.

20       Figure 6 shows symbol domain and codeword bit domain logic tables for determining when spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in a third scenario in accordance with one embodiment of the present invention.

Figures 7A and 7B show modified 4S5S encoder circuitry for use in utilizing spare bandwidth resulting from the use of a 4S5S transition-limiting code in a 4-PAM signaling system in accordance with embodiments of the present invention.

5        Figures 8A and 8B show modified 4S5S encoder and serializing 4-PAM transmitter circuitry for use in utilizing spare bandwidth resulting from the use of a 4S5S transition-limiting code in a 4-PAM signaling system in accordance with embodiments of the present invention.

10       Figures 9A and 9B show circuitry for use in receiving and decoding codewords that have been modified to utilize spare bandwidth resulting from the use of a 4S5S transition-limiting code in a 4-PAM signaling system in accordance with embodiments of the present invention.

15       Figures 10A and 10B show alternative circuitry for use in receiving and decoding codewords that have been modified to utilize spare bandwidth resulting from the use of a 4S5S transition-limiting code in a 4-PAM signaling system in accordance with embodiments of the present invention.

20       Figure 11 shows symbol domain and codeword bit domain logic tables for determining when spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for error detection in accordance with one embodiment of the present

invention.

Figures 12A and 12B show circuitry for use in receiving and decoding codewords that may have been modified to utilize spare bandwidth resulting from the use of a 4S5S transition-limiting code in a 4-PAM signaling system in accordance with embodiments of the present invention.

#### DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENT(S)

Referring to Figure 1, there is shown a signal transition diagram for a 4-PAM signaling system utilizing a 4S5S transition-limiting code as described in the above-referenced U.S. Patent Application No. 10/314,985. As shown in Figure 1, the 4S5S transition-limiting code has a unique property wherein two outer 4-PAM signal levels are periodically unused. That is, assuming  $T$  is a symbol period, every  $5T$  two outer 4-PAM signal levels (i.e., the uppermost and lowermost signal levels) are not used (i.e., there are no transitions starting from or ending at two outer 4-PAM signal levels). As described in the above-referenced U.S. Patent Application No. 10/314,985, these periodically unused outer 4-PAM signal levels may be used in framing codewords (i.e., identifying the boundary of a codeword). However, the use of these periodically unused outer 4-PAM signal levels is not limited in this regard. That is,

since these periodically unused outer 4-PAM signal levels essentially constitute spare bandwidth, these periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in accordance with the present invention.

5 Referring to Figure 2, there is shown a plurality of state transition diagrams for a 4-PAM signaling system utilizing a 4S5S transition-limiting code as described in the above-referenced U.S. Patent Application No. 10/314,985. In the 4S5S transition-limiting code described in the above-referenced U.S.  
10 Patent Application No. 10/314,985, the outer 4-PAM signal levels are periodically unused. One reason for periodically not using the outer 4-PAM signal levels is to eliminate full-swing transitions between symbols. Eliminating full-swing transitions can reduce signal distortions, such as inter-symbol interference  
15 (ISI), which can affect speed and/or the error rate at which data can be transmitted.

The plurality of state transition diagrams shown in Figure 2 illustrate four separate cases (i.e., Cases I, II, III, and IV) when the above-described periodically unused outer 4-PAM  
20 signal levels may or may not be used for other beneficial purposes in accordance with the present invention. In each case, a symbol may be represented by  $s_x^{(k)}$ , wherein  $x$  represents the symbol number within a codeword, and  $k$  represents the

codeword number. In the embodiment described with respect to Figure 2, the periodically unused outer 4-PAM signals levels are located in the 1<sup>st</sup> symbol of each codeword (i.e., at each  $s_1^{(k+1)}$  signal level). For example, in Case I, when the signal level of the fifth symbol in a first codeword (i.e.,  $s_5^{(k)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 00 and the signal level of the second symbol in a second codeword (i.e.,  $s_2^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 00, 01, or 11, then the uppermost signal level (i.e., 00) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention. This is because such use would not violate the transition-limiting properties (e.g., elimination of full-swing transitions) of the transition-limiting code. For example, if  $s_5^{(k)}$  is 00 and  $s_2^{(k+1)}$  is 11, then  $s_1^{(k+1)}$  may be 00 because neither the transition from  $s_5^{(k)}$  to  $s_1^{(k+1)}$  (i.e., 00 to 00) nor the transition from  $s_1^{(k+1)}$  to  $s_2^{(k+1)}$  (i.e., 00 to 11) is a full-swing transition when using the Gray code assignment shown in Figure 2. Also in Case I, when the signal level of the second symbol in the second codeword (i.e.,  $s_2^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 00 and the signal level of the fifth symbol in the first codeword (i.e.,  $s_5^{(k)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 01 or 11, then the uppermost signal level (i.e., 00) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein



$k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention because such use would not violate the transition-limiting properties of the transition-limiting code. However, the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may not be used for other beneficial purposes in accordance with the present invention in any of the above-mentioned Case I scenarios because such use would violate the transition-limiting properties of the transition-limiting code.

Analogously, in Case II, when the signal level of the fifth symbol in the first codeword (i.e.,  $s_5^{(k)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 10 and the signal level of the second symbol in the second codeword (i.e.,  $s_2^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 01, 11, or 10, then the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention because such use would not violate the transition-limiting properties of the transition-limiting code. Also in Case II, when the signal level of the second symbol in the second codeword (i.e.,  $s_2^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 10 and the signal level of the fifth symbol in the first codeword (i.e.,  $s_5^{(k)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 01 or 11, then the lowermost signal level (i.e., 10) of the first

symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention because such use would not violate the transition-limiting properties of the transition-limiting  
5 code. However, the uppermost signal level (i.e., 00) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may not be used for other beneficial purposes in accordance with the present invention in any of the above-mentioned Case II scenarios because such use would violate the  
10 transition-limiting properties of the transition-limiting code.

In Case III, when the signal level of the fifth symbol in the first codeword (i.e.,  $s_5^{(k)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 10 and the signal level of the second symbol in the second codeword (i.e.,  $s_2^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 00, then neither the  
15 uppermost signal level (i.e., 00) nor the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention because such use would violate the transition-limiting properties of the  
20 transition-limiting code. Also in Case III, when the signal level of the fifth symbol in the first codeword (i.e.,  $s_5^{(k)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 00 and the signal level of the second symbol in the second codeword (i.e.,  $s_2^{(k+1)}$ , wherein  $k =$

1, 2, 3,...) is 10, then neither the uppermost signal level (i.e., 00) nor the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in

5 accordance with the present invention because such use would violate the transition-limiting properties of the transition-limiting code.

However, in Case IV, when the signal level of the fifth symbol in the first codeword (i.e.,  $s_5^{(k)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 01 and the signal level of the second symbol in the second codeword (i.e.,  $s_2^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 01 or 11, then either the uppermost signal level (i.e., 00) or the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be  
10 used for other beneficial purposes in accordance with the present invention because such use would not violate the transition-limiting properties of the transition-limiting code.

Also in Case IV, when the signal level of the fifth symbol in the first codeword (i.e.,  $s_5^{(k)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 11  
20 and the signal level of the second symbol in the second codeword (i.e.,  $s_2^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) is 01 or 11, then either the uppermost signal level (i.e., 00) or the lowermost signal level (i.e., 10) of the first symbol in the second codeword

(i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention because such use would not violate the transition-limiting properties of the transition-limiting code.

5       At this point it should be noted that the signal level designations shown in Figures 1 and 2 are such that a two-bit binary value is assigned to each signal level (e.g., a Gray code assignment). Each sequential symbol carries this two-bit binary value in a 4-PAM signaling system. It should be noted, however,  
10   that the present invention is not limited to 4-PAM signaling systems or to signal level designations having Gray code assignments.

      At this point it should be noted that the binary signal level designations shown in Figures 1 and 2 may be associated  
15   with many different combinations of signal voltage, current, or other unit levels. For example, in a very simplified case, the 00 binary signal level designation may be associated with +0.500 volts, the 01 binary signal level designation may be associated with +0.167 volts, the 11 binary signal level designation may be  
20   associated with -0.167 volts, and the 10 binary signal level designation may be associated with -0.500 volts. Of course, the present invention is not limited in this regard since, as mentioned above, the binary signal level designations shown in

Figures 1 and 2 may be associated with many different combinations of signal voltages. The present invention may also be used in systems in which the signal level designations are expressed in terms of current, or other unit, levels. In some instances, it is useful to express the binary signal level designations using unitless values which are representative of normalized amplitudes of voltages associated with the binary signal level designations. For example, in a 4-PAM signaling system, the binary signal level designations may be expressed as -3, -1, +1, and +3. Expressing the binary signal level designations in this manner facilitates expressing the sum of the levels and differences between levels as integer values.

It should also be noted that, with respect to Cases I - IV shown in Figure 2, the primary transition-limiting property of the transition-limiting code was generally the elimination of full-swing transitions between symbols, and specifically the elimination of full-swing transitions to/from the first symbol of each codeword. Other transition-limiting codes using different transition-limiting properties in addition to, or instead of, the elimination of full-swing transitions may be used. For example, in order to further reduce signal distortion, a transition-limiting code having transition-limiting properties of eliminating both full and second order

swing transitions may be used. In such an example, again using a Gray code assignment and the symbol definition provided above, when  $s_5^{(k)}$  is 00 and  $s_2^{(k+1)}$  is either 00 or 01, then  $s_1^{(k+1)}$  may be 00 because neither the transition from  $s_5^{(k)}$  to  $s_1^{(k+1)}$  (i.e., 00 to 00) nor the transitions from  $s_1^{(k+1)}$  to  $s_2^{(k+1)}$  (i.e., 00 to 00 or 00 to 01) are full or second order swing transitions, and the upper 4-PAM signal level can still be used for other beneficial purposes. In another example, the transition-limiting properties of the transition-limiting code may include the elimination of full-swing transitions and a guaranteed clock data recovery (CDR) transition density. For example, the guaranteed CDR transition density may require that there be at least one symbol transition in the 4 possible symbol transitions in a 5 symbol codeword. In such an example, if  $s_2^{(k+1)}$  is 00,  $s_3^{(k+1)}$  is 00,  $s_4^{(k+1)}$  is 00, and  $s_5^{(k+1)}$  is 00, then the CDR transition density property would prohibit  $s_1^{(k+1)}$  from being changed from 01 to 00.

Referring to Figure 3, there is shown an exemplary embodiment of a 4-PAM signaling system 100 comprising an encoder 102, a serializing 4-PAM transmitter 104, a deserializing 4-PAM receiver 106, and a decoder 108. The serializing 4-PAM transmitter 104 and the deserializing 4-PAM receiver 106 are interconnected by a pair of signal carrying conductors 110.

In the embodiment of Figure 3, the encoder 102 receives parallel input data  $D_{in}$ , and then encodes the received parallel input data  $D_{in}$  so as to provide parallel codewords to the serializing 4-PAM transmitter 104 that are organized as MSB  
5 codewords (M) and LSB codewords (L). The MSB codewords (M) and the LSB codewords (L) together include multiple consecutive symbols. The parallel input data  $D_{in}$  is received as a word having  $x+1$  bits. The MSB codewords (M) and the LSB codewords (L) each have  $y+1$  bits. The encoder 102 may be implemented with  
10 binary logic, as described in the above-referenced U.S. Patent Application No. 10/314,985.

The serializing 4-PAM transmitter 104 receives the MSB codewords (M) and the LSB codewords (L) in parallel form from the encoder 102. The serializing 4-PAM transmitter 104  
15 comprises a differential transmitter 112 for differentially serially transmitting the received multiple consecutive symbols in the MSB codewords (M) and the LSB codewords (L) over the pair of signal carrying conductors 110 to the deserializing 4-PAM receiver 106.

20 The deserializing 4-PAM receiver 106 comprises a differential receiver 114 for differentially serially receiving the multiple consecutive symbols in the MSB codewords (M) and the LSB codewords (L) over the pair of signal carrying

conductors 110 from the serializing 4-PAM transmitter 104. The differential receiver 114 then transmits the MSB codewords (M) and the LSB codewords (L) in parallel form to the decoder 108.

The decoder 108 is essentially the inverse of the encoder  
5 102. That is, the decoder 108 receives the MSB codewords (M) and the LSB codewords (L) in parallel form from the deserializing 4-PAM receiver 106, and then decodes the received MSB codewords (M) and the received LSB codewords (L) so as to provide parallel output data  $D_{out}$ . The parallel output data  $D_{out}$   
10 is provided as a word having  $x+1$  bits. The decoder 108 may be implemented with binary logic, as described in the above-referenced U.S. Patent Application No. 10/314,985.

At this point it should be noted that, while Figure 3 shows the serializing 4-PAM transmitter 104 as having the differential  
15 transmitter 112 and the deserializing 4-PAM receiver 106 as having the differential receiver 114, the present invention is not limited in this regard. That is, the MSB codewords (M) and the LSB codewords (L) may be transmitted from the serializing 4-PAM transmitter 104 to the deserializing 4-PAM receiver 106 in a  
20 single-ended manner requiring only a single-ended transmitter and a single-ended receiver. Thus, the serializing 4-PAM transmitter 104 and the deserializing 4-PAM receiver 106 may alternatively be interconnected by a single signal carrying



conductor instead of the pair of signal carrying conductors 110. Alternatively still, in an optical based system, the serializing 4-PAM transmitter 104 and the deserializing 4-PAM receiver 106 may be interconnected by an optical fiber capable carrying  
5 signals at multiple optical signal levels. Alternatively even still, in a wireless based system, the serializing 4-PAM transmitter 104 and the deserializing 4-PAM receiver 106 may not be interconnected by any fixed transmission medium, but rather the MSB codewords (M) and the LSB codewords (L) may be  
10 transmitted from the serializing 4-PAM transmitter 104 to the deserializing 4-PAM receiver 106 via a wireless protocol.

For purposes of clearly describing the present invention, assume that the 4-PAM signaling system 100 shown in Figure 3 is utilizing a 4S5S transition-limiting code as described in the  
15 above-referenced U.S. Patent Application No. 10/314,985. Thus, in the embodiment of Figure 3, the encoder 102 receives parallel input data  $D_{in<7:0>}$ , and then encodes the received parallel input data  $D_{in<7:0>}$  so as to provide parallel codewords to the serializing 4-PAM transmitter 104 that are organized as MSB  
20 codewords ( $M_{<4:0>}$ ) and LSB codewords ( $L_{<4:0>}$ ). The parallel input data  $D_{in<7:0>}$  is received as an 8-bit word. The MSB codewords ( $M_{<4:0>}$ ) and the LSB codewords ( $L_{<4:0>}$ ) each have 5 bits, wherein each MSB codeword ( $M_{<4:0>}$ ) has five codeword bits

organized as  $\langle C_1, C_3, C_5, C_7, C_9 \rangle$  and each LSB codeword ( $L<4:0>$ ) has five codeword bits organized as  $\langle C_2, C_4, C_6, C_8, C_{10} \rangle$ . Thus, the MSB codewords ( $M<4:0>$ ) and the LSB codewords ( $L<4:0>$ ) together form 10-bit codewords (i.e.,  $C_1, C_2, C_3, C_4, C_5, C_6, C_7, C_8, C_9, C_{10}$ ) that are represented by groups of consecutive 2-bit symbols (i.e.,  $C_1$  &  $C_2, C_3$  &  $C_4, C_5$  &  $C_6, C_7$  &  $C_8$ , and  $C_9$  &  $C_{10}$ ).

Referring to Figure 4, there are shown symbol domain and codeword bit domain logic tables for determining when spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in the above-described Case I scenario (see Figure 2) in accordance with the present invention. That is, if the Case I conditions as defined in Figure 4 are met, then the uppermost signal level (i.e., 00) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention.

In the Case I(a) scenario, the use of the uppermost signal level (i.e., 00) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) comprises utilizing the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) to represent additional information. That is, the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed from a logic "1" state to a logic "0" state, thereby changing the signal level of the first symbol in the

second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) from a logic "01" signal level (e.g., represented by +1) to a logic "00" signal level (e.g., represented by +3). This change in the logic state of the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may

5 represent any number of types of additional information, such as, for example, control information, data information, error information, framing information, synchronization information, etc..

In the Case I(b) scenario, the use of the uppermost signal  
10 level (i.e., 00) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) comprises utilizing the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) to represent additional information. That is, the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) may be changed from a  
15 logic "1" state to a logic "0" state and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed from a logic "1" state to a logic "0" state, thereby changing the signal level of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) from a logic "11" signal level (e.g., represented by -1)  
20 to a logic "00" signal level (e.g., represented by +3). This change in the logic states of the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may represent any number of types of additional information, such

as, for example, control information, data information, error information, framing information, synchronization information, etc..

Referring to Figure 5, there are shown symbol domain and  
5 codeword bit domain logic tables for determining when spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in the above-described Case II scenario (see Figure 2) in accordance with the present invention. That is, if the Case II conditions as  
10 defined in Figure 5 are met, then the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention.

In the Case II(a) scenario, the use of the lowermost signal  
15 level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) comprises utilizing the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) to represent additional information. That is, the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed from a logic "1" state to a logic "0" state,  
20 thereby changing the signal level of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) from a logic "11" signal level (e.g., represented by -1) to a logic "10" signal level (e.g., represented by -3). This change in the

logic state of the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may represent any number of types of additional information, such as, for example, control information, data information, error information, framing information, synchronization information, etc..

In the Case II(b) scenario, the use of the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) comprises utilizing the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) to represent additional information. That is, the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) may be changed from a logic "0" state to a logic "1" state and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed from a logic "1" state to a logic "0" state, thereby changing the signal level of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) from a logic "01" signal level (e.g., represented by 1) to a logic "10" signal level (e.g., represented by -3). This change in the logic states of the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may represent any number of types of additional information, such as, for example, control information, data information, error information, framing information, synchronization information, etc..

Referring to Figure 6, there are shown symbol domain and codeword bit domain logic tables for determining when spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in the above-described Case IV scenario (see Figure 2) in accordance with the present invention. That is, if the Case IV conditions as defined in Figure 6 are met, then either the uppermost signal level (i.e., 00) or the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) may be used for other beneficial purposes in accordance with the present invention.

In the Case IV(a) scenario, the use of the uppermost signal level (i.e., 00) or the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) comprises utilizing the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) to represent additional information. That is, the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed from a logic "1" state to a logic "0" state, thereby changing the signal level of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) from a logic "01" signal level (e.g., represented by +1) to a logic "00" signal level (e.g., represented by +3). Alternatively, the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed from a logic "1" state to a logic "0" state, thereby

changing the signal level of the first symbol in the second  
codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) from a logic  
"11" signal level (e.g., represented by -1) to a logic "10"  
signal level (e.g., represented by -3). These changes in the  
5 logic state of the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may  
represent any number of types of additional information, such  
as, for example, control information, data information, error  
information, framing information, synchronization information,  
etc..

10 In the Case IV(b) scenario, the use of the uppermost signal  
level (i.e., 00) or the lowermost signal level (i.e., 10) of the  
first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1,$   
 $2, 3, \dots$ ) comprises utilizing the  $C_1$  bit in the MSB codeword  
( $M<4:0>$ ) and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) to represent  
15 additional information. That is, the  $C_1$  bit in the MSB codeword  
( $M<4:0>$ ) may be changed from a logic "1" state to a logic "0"  
state and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed  
from a logic "1" state to a logic "0" state, thereby changing  
the signal level of the first symbol in the second codeword  
20 (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) from a logic "11" signal  
level (e.g., represented by -1) to a logic "00" signal level  
(e.g., represented by +3). Alternatively, the  $C_1$  bit in the MSB  
codeword ( $M<4:0>$ ) may be changed from a logic "0" state to a

logic "1" state and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed from a logic "1" state to a logic "0" state, thereby changing the signal level of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ) from a logic "01" signal level (e.g., represented by 1) to a logic "10" signal level (e.g., represented by -3). These changes in the logic states of the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may represent any number of types of additional information, such as, for example, control information, data information, error information, framing information, synchronization information, etc..

Referring to Figure 7A, there is shown modified 4S5S encoder circuitry 700A for use in utilizing spare bandwidth resulting from the use of a 4S5S transition-limiting code, such as described in the above-referenced U.S. Patent Application No. 10/314,985, in a 4-PAM signaling system, such as shown in Figure 3, for the Case I(a), Case II(a), and Case IV(a) scenarios described above in Figures 4, 5, and 6, respectively, in accordance with the present invention. The modified 4S5S encoder circuitry 700A comprises a 4S5S encoder 702, delay circuitry 704, logic function circuitry 706, an inverter circuit 708, a plurality of logic "AND" function circuits 710, and a plurality of logic "OR" function circuits 712.



The 4S5S encoder 702 receives parallel input data  $D_{in<7:0>}$ , and then encodes the received parallel input data  $D_{in<7:0>}$  so as to provide parallel codewords to a serializing 4-PAM transmitter (not shown) that are organized as MSB codewords ( $M<4:0>$ ) and LSB codewords ( $L<4:0>$ ). As described above, the parallel input data  $D_{in<7:0>}$  is received as an 8-bit word. The MSB codewords ( $M<4:0>$ ) and the LSB codewords ( $L<4:0>$ ) each have 5 bits, wherein each MSB codeword ( $M<4:0>$ ) has five codeword bits organized as  $\langle C_1, C_3, C_5, C_7, C_9 \rangle$  and each LSB codeword ( $L<4:0>$ ) has five codeword bits organized as  $\langle C_2, C_4, C_6, C_8, C_{10} \rangle$ . Thus, the MSB codewords ( $M<4:0>$ ) and the LSB codewords ( $L<4:0>$ ) together form 10-bit codewords that are represented by groups of consecutive 2-bit symbols. The 4S5S encoder 702 may be implemented with binary logic.

All of the other circuitry in the modified 4S5S encoder circuitry 700A of Figure 7A operates to determine whether or not the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ) may be changed from a logic "1" state to a logic "0" state so that spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in accordance with the present invention. That is, the delay circuitry 704 provides a 5T delay for both the  $C_9$  bit in the MSB codeword ( $M<4:0>$ ) and the  $C_{10}$  bit in the LSB codeword ( $L<4:0>$ ), wherein T is the symbol

period as defined above. The logic function circuitry 706 operates according to the symbol domain and codeword bit domain logic tables shown in Figures 4-6, and the  $m_1$ ,  $m_2$ , and  $m_4$  outputs are defined by the functions shown in Figures 4-6. The logic  
5 function circuitry 706 may be implemented with binary logic.

The inverter circuit 708 inverts the state of the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) for use by one of the plurality of logic "AND" function circuits 710. Outputs  $m_1$ ,  $m_2$ , and  $m_4$  from the logic function circuitry and spare bandwidth input signals  
10 (i.e.,  $\text{spare}_H$  and  $\text{spare}_L$ ), which represent additional information to be transmitted in spare bandwidth associated with periodically unused outer 4-PAM signal levels, are applied to the remaining plurality of logic "OR" function circuits 712 and logic "AND" function circuits 710 so as to generate "Invert  $C_2$ "  
15 and "Spare TX Ack" output signals. More specifically, the  $\text{spare}_H$  signal represents additional information to be transmitted in spare bandwidth associated with the uppermost signal level (i.e., 00) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ), and the  $\text{spare}_L$  signal represents  
20 additional information to be transmitted in spare bandwidth associated with the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ). The "Invert  $C_2$ " signal is used to change the  $C_2$  bit

in the LSB codeword (L<4:0>) from a logic "1" state to a logic "0" state so that spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in accordance with the present invention.

5 As described above, this change in the logic state of the C<sub>2</sub> bit in the LSB codeword (L<4:0>) may represent any number of types of additional information, such as, for example, control information, data information, error information, framing information, synchronization information, etc.. The "Spare TX  
10 Ack" signal provides a notification to circuitry that generates the spare<sub>H</sub> and spare<sub>L</sub> signals (not shown) that the additional information represented by the spare<sub>H</sub> or spare<sub>L</sub> signals has actually been transmitted. Referring to Figure 7B, there is shown modified 4S5S encoder circuitry 700B for use in utilizing  
15 spare bandwidth resulting from the use of a 4S5S transition-limiting code, such as described in the above-referenced U.S. Patent Application No. 10/314,985, in a 4-PAM signaling system, such as shown in Figure 3, for the Case I(b), Case II(b), and Case IV(b) scenarios described above in Figures 4, 5, and 6,  
20 respectively, in accordance with the present invention. The modified 4S5S encoder circuitry 700B of Figure B is similar to the modified 4S5S encoder circuitry 700A of Figure 7A, except that the inverter circuit 708 is connected differently so as to

allow for the generation of an additional "Invert  $C_1$ " signal for use in changing the logic state of the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ).

Referring to Figure 8A, there is shown an alternative  
5 embodiment to the modified 4S5S encoder circuitry 700A of Figure 7A. That is, Figure 8A shows modified 4S5S encoder and serializing 4-PAM transmitter circuitry 800A for use in utilizing spare bandwidth resulting from the use of a 4S5S transition-limiting code, such as described in the above-  
10 referenced U.S. Patent Application No. 10/314,985, in a 4-PAM signaling system, such as shown in Figure 3, for the Case I(a), Case II(a), and Case IV(a) scenarios described above in Figures 4, 5, and 6, respectively, in accordance with the present invention. The modified circuitry 800A comprises a modified  
15 4S5S encoder 802, a modified serializing 4-PAM transmitter 804, a plurality of logic "OR" function circuits 808, an inverter circuit 810, and a plurality of logic "AND" function circuits 812.

The modified 4S5S encoder 802 receives parallel input data  
20  $D_{in<7:0>}$ , and then encodes the received parallel input data  $D_{in<7:0>}$  so as to provide parallel codewords to the modified serializing 4-PAM transmitter 804 that are organized as MSB codewords ( $M<4:0>$ ) and LSB codewords ( $L<4:0>$ ). As described

above, the parallel input data  $D_{in}<7:0>$  is received as an 8-bit word. The MSB codewords ( $M<4:0>$ ) and the LSB codewords ( $L<4:0>$ ) each have 5 bits, wherein each MSB codeword ( $M<4:0>$ ) has five codeword bits organized as  $<C_1, C_3, C_5, C_7, C_9>$  and each LSB  
5 codeword ( $L<4:0>$ ) has five codeword bits organized as  $<C_2, C_4, C_6, C_8, C_{10}>$ . Thus, the MSB codewords ( $M<4:0>$ ) and the LSB codewords ( $L<4:0>$ ) together form 10-bit codewords that are represented by groups of consecutive 2-bit symbols.

The modified 4S5S encoder 802 is functionally equivalent to  
10 the combination of the 4S5S encoder 702, the delay circuitry 704, and the logic function circuitry 706 of the modified 4S5S encoder circuitry 700A of Figure 7A. Thus, the modified 4S5S encoder 802 operates in a manner that is functionally equivalent to the above-described operation of the 4S5S encoder 702, the  
15 delay circuitry 704, and the logic function circuitry 706 of the modified 4S5S encoder circuitry 700A of Figure 7A. The modified 4S5S encoder 802 may be implemented with binary logic.

The inverter circuit 810 inverts the state of the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) for use by one of the plurality of  
20 logic "AND" function circuits 812. Outputs  $m_1, m_2$ , and  $m_4$  from the modified 4S5S encoder 802 and spare bandwidth input signals (i.e.,  $spare_H$  and  $spare_L$ ), which represent additional information to be transmitted in spare bandwidth associated with

periodically unused outer 4-PAM signal levels, are applied to most of the remaining plurality of logic "OR" function circuits 808 and logic "AND" function circuits 812 so as to generate "change<sub>H</sub>" and "change<sub>L</sub>" control signals for use by the modified  
5 serializing 4-PAM transmitter 804. More specifically, the spare<sub>H</sub> control signal represents additional information to be transmitted by the modified serializing 4-PAM transmitter 804 in spare bandwidth associated with the uppermost signal level (i.e., 00) of the first symbol in the second codeword (i.e.,  
10  $s_1^{(k+1)}$ , wherein  $k = 1, 2, 3, \dots$ ), and the spare<sub>L</sub> control signal represents additional information to be transmitted by the modified serializing 4-PAM transmitter 804 in spare bandwidth associated with the lowermost signal level (i.e., 10) of the first symbol in the second codeword (i.e.,  $s_1^{(k+1)}$ , wherein  $k = 1,$   
15  $2, 3, \dots$ ). The modified serializing 4-PAM transmitter 804 comprises a differential transmitter 806 for differentially serially transmitting the parallel codewords received from the modified 4S5S encoder 802 based upon the logic states of the change<sub>H</sub> and change<sub>L</sub> control signals. That is, based upon the  
20 logic states of the change<sub>H</sub> and change<sub>L</sub> control signals, the modified serializing 4-PAM transmitter 804 may change the signal levels of the serial data formed from the MSB codewords ( $M<4:0>$ ) and the LSB codewords ( $L<4:0>$ ) received from the modified 4S5S

encoder 802 so that spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used for other beneficial purposes in accordance with the present invention.

In the embodiment of Figure 8A, the control signals (i.e.,  
5  $\text{change}_H$  and  $\text{change}_L$ ) are used to change the logic state of the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ). More specifically, in the embodiment of Figure 8A, when the  $\text{change}_H$  or  $\text{change}_L$  control signal is activated, the modified serializing 4-PAM transmitter 804 changes the signal level of the first symbol of the code  
10 word (i.e.,  $M<4:0>$  and  $L<4:0>$ , where  $M<4:0>$  comprises  $C_1, C_3, C_5, C_7, C_9$  and where  $L<4:0>$  comprises  $C_2, C_4, C_6, C_8, C_{10}$ ) from an inner signal level to a corresponding outer signal level. When the  $\text{change}_H$  control signal is activated, the signal level of the first symbol of the code word from Modified 4S5S Encoder 802 is  
15 at a logic signal level "01" (e.g., represented by +1), and the modified serializing 4\_PAM transmitter 804 changes the signal level of this symbol to "00" (e.g., represented by +3). When the  $\text{change}_L$  control signal is activated, the signal level of the first symbol of the code word from Modified 4S5S Encoder 802 is  
20 at a logic signal level "11" (represented by -1), and the modified serializing 4\_PAM transmitter 804 changes the signal level of this symbol to "10" (e.g., represented by -3).

As described above, this change in the signal levels of the

serial data formed from the MSB codewords (M<4:0>) and the LSB  
codewords (L<4:0>) received from the modified 4S5S encoder 802  
may represent any number of types of additional information,  
such as, for example, control information, data information,  
5 error information, framing information, synchronization  
information, etc..

The change<sub>H</sub> and change<sub>L</sub> control signals are also applied to  
a final remaining one of the plurality of logic "OR" function  
circuits 808 so as to generate a "Spare TX Ack" signal, which  
10 provides a notification to circuitry that generates the spare<sub>H</sub>  
and spare<sub>L</sub> control signals (not shown) that the additional  
information represented by the spare<sub>H</sub> or spare<sub>L</sub> control signals  
has actually been transmitted by the modified serializing 4-PAM  
transmitter 804.

15 Referring to Figure 8B, there is shown an alternative  
embodiment to the modified 4S5S encoder circuitry 700B of Figure  
7B. That is, Figure 8B shows modified 4S5S encoder and  
serializing 4-PAM transmitter circuitry 800B for use in  
utilizing spare bandwidth resulting from the use of a 4S5S  
20 transition-limiting code, such as described in the above-  
referenced U.S. Patent Application No. 10/314,985, in a 4-PAM  
signaling system, such as shown in Figure 3, for the Case I(b),  
Case II(b), and Case IV(b) scenarios described above in Figures



4, 5, and 6, respectively, in accordance with the present invention. The modified circuitry 800B of Figure 8B is similar to the modified circuitry 800A of Figure 8A, except that the control signals (i.e.,  $\text{change}_H$  and  $\text{change}_L$  control signals) are  
5 now used to change the logic states of both the  $C_1$  bit in the MSB codeword ( $M<4:0>$ ) and the  $C_2$  bit in the LSB codeword ( $L<4:0>$ ). In Figure 8B, the inverter circuit 810 is connected differently than it is connected in Figure 8A. In Figure 8B, inverter circuit 810 is connected so that it inverts the state of the  $C_1$   
10 bit in the MSB codeword ( $M<4:0>$ ) for use by another one of the plurality of logic "AND" function circuits 812. In Figure 8B, when the  $\text{change}_H$  or  $\text{change}_L$  control signal is activated, the modified serializing 4\_PAM transmitter 804 changes the signal level of the first symbol of the code word from an inner signal  
15 level to an outer signal level. When the  $\text{change}_H$  control signal is activated, the signal level of the first symbol of the code word from Modified 4S5S Encoder 802 is at logic signal level "11" (e.g., represented by -1), and the modified serializing 4\_PAM transmitter 804 changes the signal level of this symbol to  
20 "00" (e.g., represented by +3). When the  $\text{change}_L$  control signal is activated, the signal level of the first symbol of the code word from Modified 4S5S Encoder 802 is at logic signal level "01" (e.g., represented by +1), and the modified serializing

4\_PAM transmitter 804 changes the signal level of this symbol to "10" (e.g., represented by -3).

Referring to Figure 9A, there is shown circuitry 900A for use in receiving and decoding codewords that have been modified to utilize spare bandwidth resulting from the use of a 4S5S transition-limiting code, such as described in the above-referenced U.S. Patent Application No. 10/314,985, in a 4-PAM signaling system, such as shown in Figure 3, for the Case I(a), Case II(a), and Case IV(a) scenarios described above in Figures 4, 5, and 6, respectively, in accordance with the present invention. The circuitry 900A comprises a deserializing 4-PAM receiver 902 and a modified 4S5S decoder 904A. The deserializing 4-PAM receiver 902 comprises a differential receiver 906 for differentially serially receiving the multiple consecutive symbols in the MSB codewords ( $M<4:0>$ ) and the LSB codewords ( $L<4:0>$ ) from a serializing 4-PAM transmitter (not shown). The differential receiver 906 then transmits the MSB codewords ( $M<4:0>$ ) and the LSB codewords ( $L<4:0>$ ) in parallel form to the modified 4S5S decoder 904A.

The modified 4S5S decoder 904A comprises a plurality of inverter circuits 908, a plurality of logic "AND" function circuits 912, and a 4S5S decoder 914. The modified 4S5S decoder 904A receives the MSB codewords ( $M<4:0>$ ) and the LSB codewords

(L<4:0>) in parallel form from the differential receiver 906. A first of the plurality of inverter circuits 908 inverts the state of the  $C_1$  bit in the received MSB codeword (M<4:0>) for use by one of the plurality of logic "AND" function circuits 912. A  
5 second of the plurality of inverter circuits 908 inverts the state of the  $C_2$  bit in the received LSB codeword (L<4:0>) for use by both the plurality of logic "AND" function circuits 912. The plurality of logic "AND" function circuits 912 receive the inverted and non-inverted  $C_1$  bit and the inverted  $C_2$  bit, and  
10 generate output signals (i.e.,  $\text{spare}_H$  and  $\text{spare}_L$  signals) indicating if the received codeword contains additional information in the spare bandwidth associated with periodically unused outer 4-PAM signal levels in accordance with the present invention. As described above, this additional information may  
15 comprise, for example, control information, data information, error information, framing information, synchronization information, etc..

A "Set  $C_2 = 1$ " signal is used to change the  $C_2$  bit in the LSB codeword (L<4:0>) from a logic "0" state to a logic "1"  
20 state so as to return the LSB codeword (L<4:0>) to its original unmodified state.

The 4S5S decoder 914 receives the MSB codewords (M<4:0>) and the updated LSB codewords (L<4:0>) in parallel form, and

then decodes the received MSB codewords ( $M_{<4:0>}$ ) and the received LSB codewords ( $L_{<4:0>}$ ) so as to provide parallel output data  $D_{out<7:0>}$ . The parallel output data  $D_{out<7:0>}$  is provided as an 8-bit word. The 4S5S decoder 914 may be implemented with  
5 binary logic.

Referring to Figure 9B, there is shown circuitry 900B for use in receiving and decoding codewords that have been modified to utilize spare bandwidth resulting from the use of a 4S5S transition-limiting code, such as described in the above-  
10 referenced U.S. Patent Application No. 10/314,985, in a 4-PAM signaling system, such as shown in Figure 3, for the Case I(b), Case II(b), and Case IV(b) scenarios described above in Figures 4, 5, and 6, respectively, in accordance with the present invention. The circuitry 900B of Figure 9B is similar to the  
15 circuitry 900A of Figure 9A, except for the addition of an "EXCLUSIVE-OR" logic function circuit 916 for generating a " $C_1$ " signal for use in changing the logic state of the  $C_1$  bit in the MSB codeword ( $M_{<4:0>}$ ) so as to return the MSB codeword ( $M_{<4:0>}$ ) to its original unmodified state.

20 Referring to Figure 10A, there is shown an alternative embodiment to the circuitry 900A of Figure 9A and the circuitry 900B of Figure 9B. That is, Figure 10A also shows circuitry 1000 for use in receiving and decoding codewords that have been

modified to utilize spare bandwidth resulting from the use of a 4S5S transition-limiting code, such as described in the above-referenced U.S. Patent Application No. 10/314,985, in a 4-PAM signaling system, such as shown in Figure 3, for the Case I(a), Case II(a), and Case IV(a) scenarios described above in Figures 4, 5, and 6, respectively, in accordance with the present invention. The circuitry 1000 comprises a modified deserializing 4-PAM receiver 1002 and a 4S5S decoder 1004. The modified deserializing 4-PAM receiver 1002 comprises a modified detector 1006 and a differential receiver 1008. The modified detector 1006 comprises a 4-PAM detector 1010 and an  $S_1$  level detector 1012. The 4-PAM detector 1010 detects signal levels in multiple consecutive symbols in differentially serially transmitted MSB codewords ( $M<4:0>$ ) and LSB codewords ( $L<4:0>$ ) received from a serializing 4-PAM transmitter (not shown). These detected signal levels are provided to the  $S_1$  level detector 1012, which specifically determines if the first symbol (i.e.,  $S_1$ ) in every received codeword is at a logic "00" signal level (e.g., represented by +3) or at a logic "10" signal level (e.g., represented by -3). If the  $S_1$  level detector 1012 determines that the first symbol (i.e.,  $S_1$ ) in a received codeword is at a logic "00" signal level (e.g., represented by +3), then the  $S_1$  level detector 1012 generates an output signal

(i.e.,  $\text{spare}_H$ ) indicating that the received codeword contains additional information in the spare bandwidth associated with periodically unused outer 4-PAM signal levels in accordance with the present invention. Also, if the  $S_1$  level detector 1012  
5 determines that the first symbol (i.e.,  $S_1$ ) in a received codeword is at a logic "10" signal level (e.g., represented by -3), then the  $S_1$  level detector 1012 generates an output signal (i.e.,  $\text{spare}_L$ ) indicating that the received codeword contains additional information in the spare bandwidth associated with  
10 periodically unused outer 4-PAM signal levels in accordance with the present invention. As described above, this additional information may comprise, for example, control information, data information, error information, framing information, synchronization information, etc..

15       The  $S_1$  level detector 1012 also provides control signals back to the 4-PAM detector 1010. In the embodiment of Figure 10A, the control signals (i.e.,  $\text{change}_H$  and  $\text{change}_L$ ) are used to change the logic state of the  $C_2$  bit in the received LSB codeword ( $L<4:0>$ ) from a logic "0" state to a logic "1" state. More  
20 specifically, if the  $S_1$  level detector 1012 determines that the first symbol (i.e.,  $S_1$ ) in a received codeword is at a logic "00" signal level (e.g., represented by +3), then the  $S_1$  level detector 1012 generates a control signal (i.e.,  $\text{change}_L$ ) for the

4-PAM detector 1010, which causes the 4-PAM detector 1010 to change the signal level of the serial data received at the 4-PAM detector 1010 so as to return the LSB codeword ( $L<4:0>$ ) contained in the received serial data to its original unmodified state. In the circuitry 1000 depicted in Figure 10A, if the  $S_1$  level detector 1012 determines that the first symbol (i.e.,  $S_1$ ) in a received codeword is at a logic "00" signal level (e.g., represented by +3), then the  $S_1$  level detector 1012 generates a control signal (i.e.,  $change_L$ ) for the 4-PAM detector 1010, which causes the 4-PAM detector 1010 to change the signal level of the serial data received at the 4-PAM detector 1010 so as change the  $S_1$  level from "00" to "01" (which results in a return of the LSB codeword ( $L<4:0>$ ) contained in the received serial data to its original unmodified state). If the  $S_1$  level detector 1012 determines that  $S_1$  in a received codeword is at a logic "10" signal level (e.g., represented by -3), then the  $S_1$  level detector 1012 generates a control signal (i.e.,  $change_H$ ) for the 4-PAM detector 1010, which causes the 4-PAM detector 1010 to change the signal level of the serial data received at the 4-PAM detector 1010 so as change the  $S_1$  level from "10" to "11" (which results in a return of the LSB codeword contained in the received serial data to its original unmodified state).

In the embodiment of Figure 10B, the control signals (i.e.,

change<sub>H</sub> and change<sub>L</sub>) are used to change the logic states of both the C<sub>1</sub> bit in the received MSB codeword (M<4:0>) and the C<sub>2</sub> bit in the received LSB codeword (L<4:0>). More specifically, in the circuitry 1000 depicted in Figure 10B, if the S<sub>1</sub> level detector 1012 determines that the first symbol (i.e., S<sub>1</sub>) in a received codeword is at a logic "00" signal level (e.g., represented by +3), then the S<sub>1</sub> level detector 1012 generates a control signal (i.e., change<sub>L</sub>) for the 4-PAM detector 1010, which causes the 4-PAM detector 1010 to change the signal level of the serial data received at the 4-PAM detector 1010 so as change the S<sub>1</sub> level from "00" to "11" (which results in a return of the received MSB codeword (M<4:0>) and the received LSB codeword (L<4:0>) to their original unmodified states). If the S<sub>1</sub> level detector 1012 determines that S<sub>1</sub> in a received codeword is at a logic "10" signal level (e.g., represented by -3), then the S<sub>1</sub> level detector 1012 generates a control signal (i.e., change<sub>H</sub>) for the 4-PAM detector 1010, which causes the 4-PAM detector 1010 to change the signal level of the serial data received at the 4-PAM detector 1010 so as change the S<sub>1</sub> level from "10" to "01" (which results in a return of the received MSB codeword (M<4:0>) and the received LSB codeword (L<4:0>) to their original unmodified states).

The modified detector 1006 provides updated differential



serial codewords to the differential receiver 1008, which operates similar to the differential receiver 906 in Figures 9A and 9B. The differential receiver 1008 provides MSB codewords ( $M<4:0>$ ) and updated LSB codewords ( $L<4:0>$ ) in parallel form to the 4S5S decoder 1004, which operates similar to the 4S5S decoder 914 in Figures 9A and 9B.

At this point it should be noted that transmission errors may also be detected using a modified 4S5S decoder in accordance with the present invention. More specifically, referring to Figure 11, there are shown symbol domain and codeword bit domain logic tables for determining when spare bandwidth associated with periodically unused outer 4-PAM signal levels may be used to detect transmission errors in accordance with the present invention. That is, if the error detection conditions as defined in Figure 11 are met, then an error is present in a codeword.

Referring to Figure 12A, there is shown circuitry 1200A for use in receiving and decoding codewords that may have been modified to utilize spare bandwidth resulting from the use of a 4S5S transition-limiting code, such as described in the above-referenced U.S. Patent Application No. 10/314,985, in a 4-PAM signaling system, such as shown in Figure 3, for the Case I(a), Case II(a), and Case IV(a) scenarios described above in Figures

4, 5, and 6, respectively, in accordance with the present invention. The circuitry 1200A comprises a deserializing 4-PAM receiver 902 and a modified 4S5S decoder 1202A. The deserializing 4-PAM receiver 902 in Figure 12A operates similar to the deserializing 4-PAM receiver 902 in Figure 9A, and thus no further description is required. The modified 4S5S decoder 1202A operates similar to the modified 4S5S decoder 904A in Figure 9A, except for additional error detection circuitry comprising delay circuitry 1204 and logic function circuitry 1206. The delay circuitry 1204 provides a  $5T$  delay for both the  $C_9$  bit in the MSB codeword ( $M<4:0>$ ) and the  $C_{10}$  bit in the LSB codeword ( $L<4:0>$ ), wherein  $T$  is the symbol period as defined above. The logic function circuitry 1206 operates according to the symbol domain and codeword bit domain logic tables shown in Figure 11. That is, when the error detection conditions as defined in Figure 11 are met, then the logic function circuitry 1206 generates an error signal (i.e., Error Detected). The logic function circuitry 1206 may be implemented with binary logic.

Referring to Figure 12B, there is shown circuitry 1200B for use in receiving and decoding codewords that may have been modified to utilize spare bandwidth resulting from the use of a 4S5S transition-limiting code, such as described in the above-

referenced U.S. Patent Application No. 10/314,985, in a 4-PAM signaling system, such as shown in Figure 3, for the Case I(b), Case II(b), and Case IV(b) scenarios described above in Figures 4, 5, and 6, respectively, in accordance with the present invention. The circuitry 1200B of Figure 12B is similar to the circuitry 1200A of Figure 12A, except that the circuitry 1200B of Figure 12B comprises a modified 4S5S decoder 1202B that operates similar to the modified 4S5S decoder 904B in Figure 9B, except for the additional error detection circuitry comprising the delay circuitry 1204 and the logic function circuitry 1206.

At this point it should be noted that utilizing spare bandwidth resulting from the use of transition-limiting codes in multi-PAM signaling systems in accordance with the present invention as described above may involve the processing of input data and the generation of output data to some extent. This input data processing and output data generation may be implemented in hardware or software. For example, as described above, specific electronic components may be employed in an encoder, decoder, or other similar or related circuitry for implementing the functions associated with utilizing spare bandwidth resulting from the use of transition-limiting codes in multi-PAM signaling systems in accordance with the present invention as described above. Alternatively, one or more

processors operating in accordance with stored instructions may implement the functions associated with utilizing spare bandwidth resulting from the use of transition-limiting codes in multi-PAM signaling systems in accordance with the present

5 invention as described above. If such is the case, it is within the scope of the present invention that such instructions may be stored on one or more processor readable carriers (e.g., a magnetic disk), or transmitted to one or more processors via one or more signals.

10 The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, other various modifications of and modifications to the present invention, in addition to those described herein, will be apparent to those of ordinary skill in the art from the foregoing description and

15 accompanying drawings. Thus, such other embodiments and modifications are intended to fall within the scope of the following appended claims. Further, although the present invention has been described herein in the context of a particular implementation in a particular environment for a

20 particular purpose, those of ordinary skill in the art will recognize that its usefulness is not limited thereto and that the present invention can be beneficially implemented in any number of environments for any number of purposes. Accordingly,

the claims set forth below should be construed in view of the  
full breath and spirit of the present invention as disclosed  
herein.